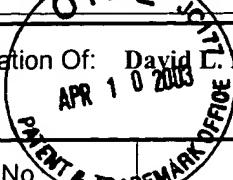


**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
09432/183002

In Re Application Of: David L. MALLIS , et al.



5/Patent Art Unit  
4240

Serial No.  
09/977,746

Filing Date  
10/15/2001

Examiner  
E. Nicholson

Group Art Unit  
3552

Invention: WEDGE THREAD WITH TORQUE SHOULDER

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GROUP 3600

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature

#45,079

Dated: 4/10/03

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA, L.L.P.  
1221 McKinney Street, Suite 2800  
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I certify that this document is being deposited on  
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20231.

Signature of Person Mailing Correspondence

Charlotte L. Cunningham

Typed or Printed Name of Person Mailing Correspondence

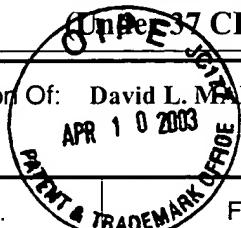
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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.

09432/183002

In Re Application Of: David L. MALLIS, et al.

Serial No.  
09/977,746Filing Date  
10/15/2001Examiner  
E. NicholsonGroup Art Unit  
3679

Title: WEDGE THREAD WITH TORQUE SHOULDER

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

## 37 CFR 1.97(b)

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

## 37 CFR 1.97(c)

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
09432/183002

In Re Application Of David L. MALLIS, et al.

Serial No.  
09/977,746

Filing Date  
10/15/2001

Examiner  
E. Nicholson

Group Art Unit  
3679

Title: WEDGE THREAD WITH TORQUE SHOULDER

Payment of Fee  
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
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Signature

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Dated: 4/10/03

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): David L. Mallis, et al

Docket No.

09432/183002

Serial No.  
09/977,746APR 10 2003  
PATENT & TRADEMARK OFFICEFiling Date  
10/15/2001Examiner  
E. NicholsonGroup Art Unit  
3679

Invention: WEDGE THREAD WITH TORQUE SHOULDER

I hereby certify that the following correspondence:

**Information Disclosure Statement**

(Identify type of correspondence)

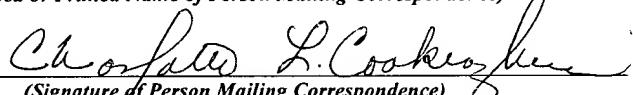
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